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Application Number	09/871,492
Filing Date	May 31, 2001
First Named Inventor	Kent D. Choquette
Title	Long Wavelength Vertical Cavity...
Group Art Unit	2815
Examiner Name	Warren, M.
Attorney Docket Number	39943/Pan/C715

#8
PA
Jim Carillon
3/18/03

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☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

M. Robert Kestenbaum, Secretary, Sandia Corporation

Signature

M. Robert Kestenbaum

Date

1/22/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ Total of 1 forms are submitted.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Kent D. Choquette and John F. Klem

Application No./Patent No.: 09/871,492 Filed/Issue Date: 5/31/2001

Entitled: Long Wavelength Vertical Cavity Surface Emitting Laser

Sandia Corporation, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012394 Frame 0751, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1/22/03
Date

M. Robert Kestenbaum

Typed or printed name

M. Robert Kestenbaum
Signature

Corporate Secretary

Title